



2833

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Approved for use through 10/31/2002. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/024,790	
	<b>Filing Date</b>	December 12, 2001	
	<b>First Named Inventor</b>	Michael P. Gorman	
	<b>Group Art Unit</b>	2833	
	<b>Examiner Name</b>	Alexander Gilman	
<b>Total Number of Pages in This Submission</b>	36	<b>Attorney Docket Number</b>	ELECTRO.001C

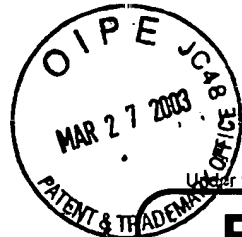
ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> - Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>Please identify below:</i> - Return Postcard
<b>Remarks</b>		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	LAW OFFICE OF GLENN R. SMITH Glenn R. Smith, Reg. No. 38,308
Signature	
Date	March 24, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <b>March 24, 2003</b>			
Typed or printed name	Glenn R. Smith	Date	March 24, 2003
Signature		Date	March 24, 2003

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180

## Complete if Known

Application Number	10/024,790
Filing Date	12/19/2001
First Named Inventor	MICHAEL P. GORMAN
Examiner Name	ALEXANDER GILMAN
Art Unit	2833
Attorney Docket No.	ELECTRO.001C

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
1051 130	2051 65	Surcharge - late filing fee or oath
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet
1053 130	1053 130	Non-English specification
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action
1251 110	2251 55	Extension for reply within first month
1252 410	2252 205	Extension for reply within second month
1253 930	2253 465	Extension for reply within third month
1254 1,450	2254 725	Extension for reply within fourth month
1255 1,970	2255 985	Extension for reply within fifth month
1401 320	2401 160	Notice of Appeal
1402 320	2402 160	Filing a brief in support of an appeal
1403 280	2403 140	Request for oral hearing
1451 1,510	1451 1,510	Petition to institute a public use proceeding
1452 110	2452 55	Petition to revive - unavoidable
1453 1,300	2453 650	Petition to revive - unintentional
1501 1,300	2501 650	Utility issue fee (or reissue)
1502 470	2502 235	Design issue fee
1503 630	2503 315	Plant issue fee
1460 130	1460 130	Petitions to the Commissioner
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)
1806 180	1806 180	Submission of Information Disclosure Stmt
8021 40	8021 40	Recording each patent assignment per property (times number of properties)
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))
1801 750	2801 375	Request for Continued Examination (RCE)
1802 900	1802 900	Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 180

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Glenn R. Smith	Registration No. (Attorney/Agent)	38,308	Telephone	(949) 709-7164
Signature	<i>Glenn R. Smith</i>	Date	03/24/2003		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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ELECTRO.001C

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael P. Gorman )

Appl. No. : 10/024,790 )

Filed : December 12, 2001 )

For : SAFETY ELECTRICAL OUTLET  
AND SWITCH SYSTEM )

Examiner : Alexander Gilman )

Group Art Unit 2833

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is being deposited with the United States  
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Washington, D.C. 20231 on

March 24, 2003

(Date)

*Glenn R. Smith*  
Glenn R. Smith, Reg. No. 38,308

#10  
4-14-03  
Jm

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97-1.98, it is requested that the references listed on the attached forms PTO/SB/08A be made of record in the above-identified application.

The first attached form PTO/SB/08A lists two references not previously filed and is submitted herewith in accordance with the provisions of 37 C.F.R. § 1.97(c) and MPEP § 609. Specifically this statement is filed before the mailing date of any final action, notice of allowance or action that otherwise closes prosecution and, accordingly, is submitted with a fee set forth in 37 C.F.R. § 1.17(p). Copies of the two cited references are also attached herewith.

The second attached form PTO/SB/08A is a resubmitted copy of a previously filed information disclosure statement and is submitted herewith because the first office action mailed 09/30/2002 did not include a copy of the submitted form as initialed and signed by the Examiner. See MPEP § 609. Thus, Applicant could not determine if the previously submitted references were considered. Also, attached are copies of the corresponding transmittal form and return postcard indicating a 04/23/02 filing date and referring to that information disclosure statement.

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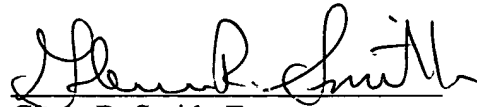
Note that the current application is a continuation of U.S. Patent No. 6,341,981 filed 01/29/02. The references listed on the previously filed form PTO/SB/08A were cited in an information disclosure statement with respect to the prosecution of that patent, which was properly identified on the previously filed form. *See last entry.* Thus, pursuant to 37 C.F.R. 1.98(d), copies of the references cited on the previously filed form were not provided and are not provided herein.

Respectfully submitted,

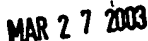
LAW OFFICE OF GLENN R. SMITH

Dated: 03/24/2003

By:



Glenn R. Smith, Esq.  
Registration No. 38,308  
Attorney of Record  
28626 Brookhill Road  
Trabuco Canyon, CA 92679-1163  
Telephone/Fax: (949) 709-7164



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Substitute for form 1449/PTO

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/024,790
Filing Date	12/19/2001
First Named Inventor	Michael P. Gorman
Art Unit	2833
Examiner Name	Alexander Gilman
Attorney Docket Number	ELECTRO.001C

Sheet 1

of 1

## U. S. PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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